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DATE MAILED: 11/12/2008

### NOTICE OF ALLOWANCE AND FEE(S) DUE

47069 7590 11/12/2008 KONRAD RAYNES & VICTOR, LLP ATTN: IBM54 315 SOUTH BEVERLY DRIVE, SUITE 210

BEVERLY HILLS, CA 90212

EXAMINER

MIRZA, ADNAN M

ART UNIT PAPER NUMBER

2445

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/918,204	07/30/2001	Taejae Lee	STL920000096US1	2143

TITLE OF INVENTION: METHOD, SYSTEM, AND PROGRAM FOR TRANSFERRING DATA FROM AN APPLICATION ENGINE

APPLN, TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	02/12/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

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B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

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CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)				Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.				
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KONRAD RAYNES & VICTOR, LLP ATTN: IBM54 315 SOUTH BEVERLY DRIVE, SUITE 210				Certificate of Mailing or Transmission  I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop 182E FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.				
BEVERLY HILI	LS, CA 90212							(Depositor's name)
								(Signature)
								(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVE	NTOR		ATTO	RNEY DOCKET NO.	CONFIRMATION NO.
09/918,204	07/30/2001		Taejae Lee			ST	L920000096US1	2143
TITLE OF INVENTION:		AND PROGRAM FO		ATA I	ROM AN APPLIC			2115
APPLN, TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE	DUE	PREV. PAID ISSUI	E PEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300		\$0		\$1810	02/12/2009
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MIRZA, A	DNAN M	2445	709-226000					
1. Change of corresponde	nce address or indicatio	n of "Fee Address" (3	7 2. For printing or	n the p	atent front page, lis	it		
CFR 1.363). □ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.			(1) the names of or agents OR, alt	(1) the names of up to 3 registered patent attorneys 1				
				(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is 3				
PTO/SB/47; Rev 03-0: Number is required.	cation (or "Fee Address 2 or more recent) attack	ned. Use of a Custome	2 registered pate listed, no name v	nt attor	meys or agents. If i printed.	no nam	e is 3	
3. ASSIGNEE NAME AT								
PLEASE NOTE: Unle recordation as set forth	ess an assignee is ident n in 37 CFR 3.11. Com	ified below, no assign pletion of this form is	nee data will appear on NOT a substitute for fili	the pa	itent. If an assigne assignment.	ee is ic	lentified below, the do	cument has been filed for
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Please check the appropri	ate assignee category or	r categories (will not b	e printed on the patent)	. 🗅	Individual 🗖 Co	orporati	on or other private gro	up entity 🗖 Government
4a. The following fee(s) a	are submitted:		4b. Payment of Fee(s)		se first reapply an	y prev	iously paid issue fee	hown above)
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☐ Publication Fee (No small entity discount permitted) ☐ Advance Order - # of Copies				☐ Payment by credit card. Form PTO-2038 is attached. ☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any				
			overpayment, to	Depo	sit Account Numbe	т	(enclose ar	extra copy of this form).
	SMALL ENTITY state	us. See 37 CFR 1.27.					ITTY status. See 37 CF	
NOTE: The Issue Fee and interest as shown by the r	I Publication Fee (if req ecords of the United Sta	uired) will not be acce ites Patent and Traden	pted from anyone other tark Office.	than t	ne applicant; a regi	stered a	attorney or agent; or th	e assignee or other party ir
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This collection of informa an application. Confident submitting the completed this form and/or suggestie Box 1450, Alexandria, V. Alexandria, Virginia 223	ation is required by 37 C iality is governed by 35 application form to the ons for reducing this bu irginia 22313-1450. DO 13-1450.	CFR 1.311. The inform i U.S.C. 122 and 37 C USPTO. Time will v rden, should be sent to D NOT SEND FEES C	nation is required to obta FR 1.14. This collection ary depending upon the othe Chief Information OR COMPLETED FOR	nin or r n is est e indiv Office MS TO	etain a benefit by the imated to take 12 r idual case. Any co r, U.S. Patent and O THIS ADDRESS	he publ ninutes mment Traden i. SENI	ic which is to file (and to complete, includin s on the amount of tin nark Office, U.S. Depa D TO: Commissioner I	by the USPTO to process, g gathering, preparing, and he you require to complete rtment of Commerce, P.O. or Patents, P.O. Box 1450

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/918,204	04 07/30/2001 Taejae Lee		STL920000096US1	2143	
47069 7	590 11/12/2008		EXAM	IINER	
KONRAD RAYNES & VICTOR, LLP			MIRZA, ADNAN M		
ATTN: IBM54		ART UNIT	PAPER NUMBER		
315 SOUTH BEV BEVERLY HILL:	ERLY DRIVE, SUITE S. CA 90212	2445			

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 1440 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 1440 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

## Notice of Allowability

Application No.	Applicant(s)	
09/918,204	LEE ET AL.	
Examiner	Art Unit	
ADNIAN M MIDZA	2445	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--All claims being allowable. PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

- This communication is responsive to 08/13/2008.
- The allowed claim(s) is/are 2-6,8-12,14-18,20-24,26-30,32-36.
- Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - b) ☐ Some\* c) ☐ None of the: a)  $\square$  All
    - 1. 

      Certified copies of the priority documents have been received.
    - 2. Certified copies of the priority documents have been received in Application No.
    - 3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
  - \* Certified copies not received:

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

- A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
- CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
  - (a) Including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached (b) I including changes required by the attached Examiner's Amendment / Comment or in the Office action of
    - 1) hereto or 2) to Paper No./Mail Date
  - Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of

each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).

6. 

DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

#### Attachment(s)

- 1. Notice of References Cited (PTO-892)
- Notice of Draftperson's Patent Drawing Review (PTO-948)
- Information Disclosure Statements (PTO/SB/08).
- Paper No./Mail Date 09/15/05,02/27/06,08/02/2006,07/25/2007 4. T Examiner's Comment Regarding Requirement for Deposit of Biological Material
- 5. Notice of Informal Patent Application
- Interview Summary (PTO-413), Paper No./Mail Date
- Examiner's Amendment/Comment
- 8. X Examiner's Statement of Reasons for Allowance
- 9. ☐ Other .

/Jason D Cardone/

Supervisory Patent Examiner, Art Unit 2445

### EXAMINER'S AMENDMENT

An Examiner's Amendment to the record appears below. Should the changes and/or additions be unacceptable to applicants, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it must be submitted no later than the payment of the issue fee.

Authorization for this Examiner's Amendment was given in a telephone interview with Mr. David Victor on 06/07/06.

Please amend claims 2-5, 8, 12, 14-16, 20, 24, 26-29, 32, and 36 to change the dependency from one of the canceled independent claims 1, 13, and 25 to allowable independent claims 1, 23, and 35.

Please amend claims 4, 8, 12, 16, 20, 24, 28, 32, and 36 to remove language that is already found in the allowable base claims 11, 23, and 35, corrected the dependency of claims 5 and 29 to depend from claims 4 and 28, made a punctuation correction to claim 23, and amended claims 4, 16, and 28 to clarify that the "service class implementation" is a workflow service class implementation. Applicants further canceled claims 37-39 because they include redundant limitations with respect to the pending base claims 11, 23, and 35.

#### Listing of Claims

- 1. (Canceled)
- (Currently Amended) The method of claim [[1]] 11, wherein the calling entity comprises an application program.

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- (Currently Amended) The method of claim [[1]] 11, wherein the calling entity
  issues the request from a client and wherein the request is received on a server including the
  workflow engine.
- 4. (Currently Amended) The method of claim [[1]] 11, wherein the workflow engine is one of a plurality of workflow engines enabling access to workflow resource objects, wherein the request for the workflow resource objects from the calling entity comprises a method that is a member of a workflow service class implementation of the workflow engine, wherein each workflow engine provides one service class implementation of methods and objects from a same abstract service class implementing the operations of receiving the request, generating the request to the workflow engine, generating the collection object, and returning the generated collection object.
- (Currently Amended) The method of claim [[1]] 4, wherein the workflow engine and other service engines comprise workflow products from different vendors.
- 6. (Original) The method of claim 5, wherein the workflow service class implementations from different vendors each include methods and objects from a same abstract workflow service class specifying methods and objects to include in all workflow service class implementations.
  - 7. (Canceled)
- (Currently Amended) The method of claim [[1]] 11, wherein the collection object is generated using methods from a collection object class; further comprising:

receiving a retrieve method in the collection object class from the calling entity requesting the workflow resource object represented by one selected metadata element in the collection object;

generating an additional request to the workflow engine for the workflow resource object requested in the retrieve method:

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receiving the requested workflow resource object from the workflow engine; and returning the requested workflow resource object to the calling entity invoking the retrieve method.

- (Original) The method of claim 8, wherein the calling entity uses methods from the collection object class to access the collection object.
- (Previously Presented) The method of claim 9, wherein the generated additional request to the workflow engine is in a service class implemented by the workflow engine including methods and objects to access the workflow engine.
- (Previously Presented) A method for enabling access to workflow resource objects in a plurality of workflow engines, comprising:

receiving a request, from a calling entity, for workflow resource objects of a specified type in a specified workflow engine, wherein the specified type of the requested resource objects comprises at least one of workflow objects, workflow templates and work lists defined in the specified workflow engine, wherein the workflow engine is one of a plurality of workflow engines enabling access to workflow resource objects, and wherein each workflow engine provides one collection object class implementation of methods from a same abstract collection object class used to instantiate and manipulate a collection object including metadata on workflow resource objects available at the workflow engine;

generating a request to the workflow engine for information on available workflow resource objects of the specified type;

in response to receiving the information from the workflow engine, generating a collection object using methods from the collection object class for the workflow engine from which the information was returned including one metadata element for each workflow resource object of the specified type in the workflow engine, and

returning the generated collection object to the calling entity.

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12. (Currently Amended) The method of claim [[1]] 11, wherein the collection object is generated using methods from a collection object class and wherein the request for the workflow resource object from the calling entity is in a service class implemented by the workflow engine that includes methods and objects to access the workflow engine.

#### 13. (Canceled)

- (Currently Amended) The system of claim [[13]] 23, wherein the calling entity comprises an application program.
- (Currently Amended) The system of claim [[13]] 23, wherein the calling entity comprises a client and wherein the means for receiving the request comprises a server including the workflow engine.
- 16. (Currently Amended) The system of claim [[13]] 23, wherein the workflow engine is one of a plurality of workflow engines enabling access to workflow resource objects; wherein the means for requesting the resource objects from the calling entity uses a method that is a member of a workflow service class implementation of the workflow engine, wherein each workflow engine provides one service class implementation of methods and objects from a same abstract service class implementing the means for receiving the request, generating the request to the workflow engine, generating the collection object, and returning the generated collection object.
- (Previously Presented) The system of claim 16, wherein the workflow engine and other service engines comprise workflow products from different vendors.
- 18. (Original) The system of claim 17, wherein the workflow service class implementations from different vendors each include methods and objects from a same abstract workflow service class specifying methods and objects to include in all workflow service class implementations.

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### 19. (Canceled)

 (Currently Amended) The system of claim [[13]] 23, wherein the collection object is generated using methods from a collection object class: further comprising:

means for receiving a retrieve method in the collection object class from the calling entity requesting the resource object represented by one selected metadata element in the collection object;

means for generating an additional request to the workflow engine for the resource object requested in the retrieve method;

means for receiving the requested resource object from the workflow engine; and means for returning the requested resource object to the calling entity invoking the retrieve method.

- (Original) The system of claim 20, wherein the calling entity uses methods from the collection object class to access the collection object.
- 22. (Previously Presented) The system of claim 21, wherein the means for generating the additional request to the workflow engine comprises a method in a service class implemented by the workflow engine including methods and objects to access the workflow engine.
- (Currently Amended) A system for enabling access to workflow resource objects, comprising:

a plurality of workflow engines enabling access to workflow resources, wherein each workflow engine provides one collection object class implementation of methods from a same abstract collection object class used to instantiate and manipulate a collection object including metadata on resource objects available at the workflow engine[[,]];

means for receiving a request, from a calling entity, for workflow resource objects of a specified type in a specified workflow engine, wherein the specified type of the requested Application/Control Number: 09/918,204

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resource objects comprises at least one of workflow objects, workflow templates and work lists defined in the specified workflow engine;

means for generating a request to the specified workflow engine for information on available workflow resource objects of the specified type;

means for generating a collection object using methods from the collection object class for the specified workflow engine, wherein the generated collection object includes one metadata element for each resource object of the specified type in the specified workflow engine in response to receiving the information from the specified workflow engine; and

means for returning the generated collection object to the calling entity.

24. (Currently Amended) The system of claim [[13]] 23, wherein the means for generating the collection object uses methods from a collection object class and wherein the means for requesting the resource object from the calling entity uses methods in a service class implemented by the application engine that includes methods and objects to access the workflow engine.

#### (Canceled)

- (Currently Amended) The computer readable medium of claim [[25]] 35, wherein
  the calling entity comprises an application program.
- (Currently Amended) The computer readable medium of claim [[25]] 35, wherein
  the calling entity issues the request from a client and wherein the request is received on a server
  including the workflow engine.
- 28. (Currently Amended) The computer readable medium of claim [[25]] 35, wherein the workflow engine is one of a plurality of workflow engines enabling access to workflow resource objects, wherein the request for the resource objects from the calling entity comprises a method that is a member of a workflow service class implementation of the workflow engine, wherein each workflow engine provides one service class implementation of methods and

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objects from a same abstract service class implementing the operations of receiving the request, generating the request to the workflow engine, generating the collection object, and returning the generated collection object.

- (Currently Amended) The computer readable medium of claim [[25]] <u>28</u>, wherein
  the workflow engine and other service engines comprise workflow products from different
  vendors.
- 30. (Previously Presented) The computer readable medium of claim 29, wherein the workflow service class implementations from different vendors each include methods and objects from a same abstract workflow service class specifying methods and objects to include in all workflow service class implementations.

#### (Canceled)

32. (Currently Amended) The computer readable medium of claim [[25]] 35, wherein the collection object is generated using methods from a collection object class; further comprising:

receiving a retrieve method in the collection object class from the calling entity requesting the resource object represented by one selected metadata element in the collection object;

generating an additional request to the workflow engine for the workflow resource object requested in the retrieve method;

receiving the requested workflow resource object from the workflow engine; and returning the requested workflow resource object to the calling entity invoking the retrieve method.

 (Previously Presented) The computer readable medium of claim 32, wherein the calling entity uses methods from the collection object class to access the collection object. Application/Control Number: 09/918,204 Art Unit: 2445

- 34. (Previously Presented) The computer readable medium of claim 33, wherein the generated additional request to the workflow engine is in a service class implemented by the workflow engine including methods and objects to access the workflow engine.
- (Previously Presented) A computer readable medium including code for enabling access to workflow resource objects in workflow engine by:

receiving a request, from a calling entity, for workflow resource objects of a specified type in the workflow engine, wherein the workflow engine is one of a plurality of workflow engines enabling access to workflow resource objects, wherein each workflow engine provides one collection object class implementation of methods from a same abstract collection object class used to instantiate and manipulate a collection object including metadata on resource objects available at the workflow engine;

generating a request to the workflow engine for information on available workflow resource objects of the specified type, wherein the specified type of the requested resource objects comprises at least one of workflow objects, workflow templates and work lists defined in the workflow engine;

in response to receiving the information from the workflow engine, generating a collection object using methods from the collection object class including one metadata element for each workflow resource object of the specified type in the workflow engine; and returning the generated collection object to the calling entity.

- 36. (Currently Amended) The computer readable medium of claim [[25]] 35, wherein the collection object is generated using methods from a collection object class and wherein the request for the workflow resource object from the calling entity is in a service class implemented by the workflow engine that includes methods and objects to access the workflow engine.
  - (Canceled)
  - (Canceled)
  - 39. (Canceled)

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Reasons for Allowance

Claims 2-6.8-12.14-18.20-24.26-30. 32-36 will be allowed.

The following is an examiner's statement of reasons for allowance.

The prior art references most closely resembling the applicants claimed invention are Graylin (U.S. 2003/0033415) and Rajarajan (U.S. 2002/00143949).

First, Graylin discloses object oriented programming principles in general, such as object classes that serve as a template which defines a data structure for holding attributes and program instructions. Each class includes a means for instantiating an object from a class template. However Graylin fails to disclose receiving a request for work flow resource objects of a specified type of the requested resource object comprises one of workflow objects, workflow templates and work lists defined in the workflow engine. These limitations are incorporated into all of the independent claims (claims 11,23,35).

Second Rajarajan discloses that a configuration manager handles the addition of new resources and communicates with the resources and may configure the resources to allow management of those resources. The configuration manager is a web service for which web service for which web service methods are provided and other managers may use the methods to get information about the resources However Rajararajan fails to disclose "receiving a request for work flow resource objects of a specified type of the requested resource object comprises one of workflow

objects, workflow templates and work lists defined in the workflow engine". These limitations are incorporated into all of the independent claims (claims 11.23.35).

In summary, the Examiner submits that neither Graylin, nor Rajarajan teaches all the limitations of independent claims in combination with other elements. Specifically prior art does not teach "receiving a request for work flow resource objects of a specified type of the requested resource object comprises one of workflow objects, workflow templates and work lists defined in the workflow engine"; therefore, claims 2-6,8-12,14-18,20-24,26-30, 32-36 have been deemed allowable over the prior art.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Adnan Mirza whose telephone number is (571) 272-3885. The examiner can normally be reached on Monday through Friday from 9:30 A.M. to 6:00 P.M..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor Jason Cardone, can be reached on (571) 272-3933. The fax phone numbers for the organization where this application or proceeding is assigned are listed hereinbelow.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703)746-7239.

Customer service number is (866) 217-9197.